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425-03

Docket No. 12770US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: )  
John C. Doyle )  
Serial No. 09/273,673 )  
Filed: March 22, 1999 )  
For: Computer Apparatus and Method for )  
Trading and Clearing Futures Contracts to )  
Accommodate a Variable Sensitivity Related )  
to the General Level of Interest Rates )  
Examiner: Frantzy Poinvil )  
Group Art Unit: 3628 )

EXPRESS MAIL NO: EL 933130952 US

DATE: January 9, 2003

RECEIVED  
APR 21 2003  
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

01/15/2003 MKAYPAGH 00000038 09273673

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180.00 DP

Dear Sirs:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following reference(s) be considered in the examination of the above-identified patent application:

6,304,858	10/2001
6,247,000	6/2002
6,112,181	8/2000

The above-identified reference(s) are listed on the attached PTO Form 1449 and copies are also enclosed herewith.

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.G./

right to take any actions necessary to remove the above-cited references from the available prior art.

Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

A check for \$180.00 is enclosed to cover the submission of the information disclosure statement. Please charge any fees due in connection with this statement to the deposit account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Respectfully submitted,



Date: January 9, 2003

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Christopher N. George  
Reg. No. 51,728

Form PTO-1449 (Rev. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO.  12770US01	SERIAL NO.  09/273,673
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT:  Doyle	
		March 22, 1999	GROUP ART UNIT: 3628

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,304,858	10/2001				
		6,247,000	6/2002				
		6,112,181	8/2000				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								NO

EXAMINER  /Clement Graham/	DATE CONSIDERED  07/12/2008
*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	